

Real-time observation of bacteriophage T4 gp41 helicase reveals an unwinding mechanism

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Helicases are enzymes that couple ATP hydrolysis to the unwinding of double-stranded (ds) nucleic acids. The bacteriophage T4 helicase (gp41) is a hexameric helicase that promotes DNA replication within a highly coordinated protein complex termed the replisome. Despite recent progress, the gp41 unwinding mechanism and regulatory interactions within the replisome remain unclear. Here we use a single tethered DNA hairpin as a real-time reporter of gp41-mediated dsDNA unwinding and single-stranded (ss) DNA translocation with 3-base pair (bp) resolution. Although gp41 translocates on ssDNA as fast as the *in vivo* replication fork (≈ 400 bp/s), its unwinding rate extrapolated to zero force is much slower (≈ 30 bp/s). Together, our results have two implications: first, gp41 unwinds DNA through a passive mechanism; second, this weak helicase cannot efficiently unwind the T4 genome alone. Our results suggest that important regulations occur within the replisome to achieve rapid and processive replication.

passive mechanism | single molecule | magnetic tweezers | DNA replication | replisome

Bacteriophage T4 replication machinery, an eight-protein complex termed the replisome, is able to promote phage genome replication at rates of 400 bp/s *in vivo* (1) and constitutes an attractive model for prokaryotic DNA replication. The separation of the parent double helix, a necessary step in the progress of the replication fork, is achieved by the bacteriophage T4 helicase gp41. Helicases are motor proteins involved in nearly every aspect of nucleic acid metabolism (2). However, the mechanism by which they couple ATP hydrolysis to the unwinding of the double helix is not yet fully understood. In particular, it is not clear whether they act by an active mechanism whereby the helicase actively destabilizes the double helix or by a passive mechanism where the enzyme is a mere ssDNA translocase trapping the spontaneous opening fluctuations of the fork (3–5). In this passive scheme, ATP hydrolysis is used only to generate directed motion of the enzyme on its track. Single-molecule experiments have proven to be a powerful tool to study this question (6, 7). In particular, it has been suggested that T7 replicative helicase actively unwinds DNA (8). Two models accounting respectively for RNA and DNA unwinding by HCV NS3 helicase also are consistent with an active mechanism (9, 10). However, it is still unclear whether all helicases unwind nucleic acids employing an active mechanism.

gp41 is a hexameric (11, 12), DnaB-like helicase that unwinds DNA with 5' to 3' polarity (13). It forms a stable complex with six units of the gp61 primase when ssDNA or forked DNA is present (14). Helicase activity has been shown to be stimulated upon association with gp61 or in coupled assays with the DNA polymerase gp43 (13, 15–17). However, the underlying mechanism of base-pair unwinding still is unknown. To obtain a full picture of the interactions between gp41 and its partners within the replisome, it is crucial first to characterize gp41 helicase activity in isolation.

Here we use magnetic tweezers (18, 19) to measure the rate of gp41 as it unwinds dsDNA or translocates on ssDNA. By

varying the force destabilizing the DNA substrate and the ATP concentration, we probe the gp41 unwinding mechanism.

Results

Experiments were carried out by tethering a DNA hairpin between a glass surface and a magnetic bead [Fig. 1*A*, [supporting information \(SI\) Fig. 6*A*](#)]. Two DNA substrates were used in this study with respective duplex lengths of 231 bp and 6.8 kbp. By positioning two magnets above the sample, we applied a controlled force on the DNA hairpin. The basis of the assay is as follows: gp41-catalyzed unwinding of the hairpin results in an increase in the end-to-end distance of the DNA molecule observed as a change in the distance between the bead and the surface (Fig. 1*A*).

We initially characterized the mechanical unfolding of the hairpin construct in the absence of gp41. Mechanical unfolding resulting in an extension of the DNA molecule occurs at a typical force of 14.1 ± 1 pN and displays a marked hysteresis ([SI Fig. 6*B*](#)) (20, 21). At forces $F \leq 11 \pm 1$ pN, the hairpin is stably folded for the duration of a typical experiment. Therefore, below 11 ± 1 pN of force, any unfolding observed in the presence of gp41 results from its activity. Indeed, in the absence of helicase, the extension of the DNA molecule remains constant at the level corresponding to the folded hairpin.

After this calibration, the DNA hairpin was held at a constant force below the unfolding transition, a buffer containing the protein and ATP was injected into the experimental chamber, and the extension of the molecule was recorded over time. Any change in extension thus is attributable to an interaction of the helicase with the DNA (unwinding, dissociation, or translocation on ssDNA).

gp41 Unwinding Rate Measurement. As gp41 and ATP were added, we observed short events displaying a transient increase of DNA extension (Fig. 1*B*). Between these events, the measured length of the DNA molecule corresponds to the folded state of the hairpin. The slope of the DNA extension time trace during these events (i.e., the unwinding velocity) depends on the ATP concentration (see below). Thus, these events result from helicase-catalyzed transient unwinding of the duplex. The time duration of each event is much shorter than the time between events, which guarantees that each event results from the activity of a single helicase complex. The length increase (in nanometers) we observed can be readily translated into base pairs at a given force

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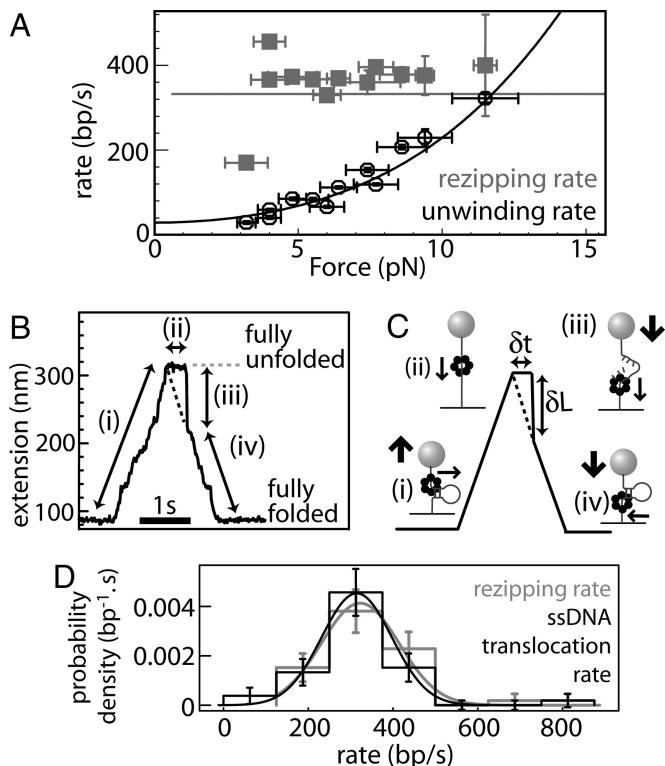


Fig. 2. Unwinding rate v_U and reziping rate v_Z measured as a function of force at saturating ATP concentration. (A) The unwinding rate (black circles) displays a 10-fold increase as the force is increased from 3 to 11.5 pN. The reziping rate (gray squares) does not display any significant variation over the force range explored ([ATP] = 5 mM, [gp41] = 100 nM monomer, 6.8-kbp substrate). (B) Events observed on the 231-bp substrate in the high force regime displaying four phases: (i) complete duplex unwinding by gp41; (ii) short extension plateau at the fully unfolded state; (iii) rapid, spontaneous rehybridization of the two DNA strands up to the helicase position; and (iv) gp41 reziping with the fork closing in its wake. This type of event allows for the direct comparison of the reziping rate (slope of phase iv) with the ssDNA translocation rate by gp41 on the stretched ssDNA (slope of the black dotted line; see text). $F = 9 \pm 1$ pN, [ATP] = 5 mM, [gp41] = 100 nM monomer, 231-bp substrate. (C) Schematic representation of the event displayed in B. The ssDNA translocation rate is equal to $\delta L/\delta t$, or equivalent to the slope of the dashed line. (D) Reziping rate distribution and ssDNA translocation rate distribution measured on events displayed in B. The histograms were fit to Gaussian distributions, yielding averages of 322 ± 17 bp/s (SD 92 bp/s) for the reziping rate (gray) and 314 ± 15 bp/s (SD 83 bp/s) for the ssDNA translocation rate (black); $F = 9 \pm 1$ pN, [ATP] = 5 mM, [gp41] = 100 nM monomer, 231-bp substrate; $N = 43$ events.

and *Ciii*), then with the fork closing in its wake (Fig. 2*Civ*). We can measure the rezipping rate during these events as the slope of the extension time trace during phase (Fig. 2*Civ*). In contrast, the translocation of the enzyme on the stretched ssDNA does not change its extension; however, we can estimate this rate as the ratio of the distance traveled divided by the time the hairpin remains unfolded ($\delta L/\delta t$ in Fig. 2*C*). We then compare the rates of translocation on ssDNA with or without a fork closing behind the helicase. In the conditions explored ($F > 7$ pN; $0.5 \text{ mM} \leq [\text{ATP}] \leq 5 \text{ mM}$), the mean rates are similar (Fig. 2*D*), differing by only 5% (SD 20%; $N = 10$ events).

We therefore conclude that the reziping rate is equal to the ssDNA translocation rate. As a consequence, we can measure the dsDNA unwinding rate and the ssDNA translocation rate under the exact same conditions to quantify how gp41 slows down while unwinding dsDNA as compared with when it translocates on ssDNA. These measurements, performed as a function of force and ATP concentration, provide us with a set of data amenable to test various helicase mechanisms.

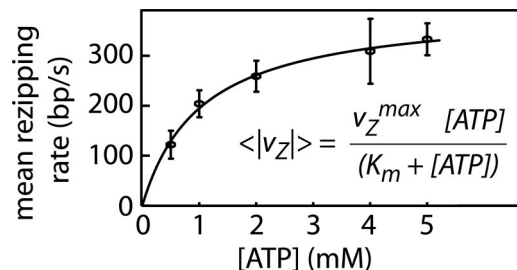


Fig. 3. Force-averaged rezipping velocity, $\langle |v_z| \rangle$ (ssDNA translocation velocity; see text) as a function of ATP concentration. The rezipping velocity obeys Michaelis-Menten kinetics with a maximum rate $v_z^{\max} = 400 \pm 10$ bp/s and $K_m = 1.1 \pm 0.1$ mM; data collected on the 6.8-kbp substrate (*SI Text*).

ssDNA Translocation Does Not Involve Cooperative ATP Hydrolysis.

We first characterized the ssDNA translocation rate dependency on ATP. For each ATP concentration, we obtained the ssDNA translocation rate as the average of the force-independent rezipping rates (Fig. 3). The resulting ssDNA translocation velocity versus ATP concentration curve was fit to the Michaelis-Menten equation, $\langle v_Z \rangle = v_Z^{\max} [\text{ATP}] / (K_m + [\text{ATP}])$, with a maximum velocity (v_Z^{\max}) of 400 ± 10 bp/s and K_m of 1.1 ± 0.1 mM. The observed nonsigmoidal kinetics rule out a translocation mechanism involving simultaneous ATP hydrolysis by the six helicase monomers but cannot distinguish between independent or cooperative ATP binding. Based on this result, we have modeled gp41 translocation on ssDNA involving a reversible ATP binding step followed by an irreversible translocation event (Fig. 4A).

Global Model for Helicase Activity. Next, we measured the dsDNA unwinding rate v_U as a function of applied force and ATP concentration (Fig. 5A). The unwinding rate increases continuously with increasing force and ATP concentration. The maximum unwinding velocity measured at the critical force where the hairpin is marginally stable ($F \approx 12$ pN) agrees with the translocation velocity on ssDNA (Fig. 2A) at the same ATP concentration.

We represent these results by using a simple global model for helicase activity on ssDNA and dsDNA (Fig. 4). We assume that the enzyme first binds ATP reversibly and that translocation is coupled to ATP hydrolysis. In the case of ssDNA translocation, the enzyme step size is n bp and occurs with rate k_+ (Fig. 4A). In the case of dsDNA unwinding (Fig. 4B), the fork must open by n bp before translocation. The kinetics of fork opening/closing depend on the force exerted to open the hairpin and the active/passive character of the helicase. Finally, translocation by n bases takes place with the same rate k_+ as on ssDNA.

The active/passive nature of the helicase is introduced in to the model through the fork opening and closing rates, α and β , respectively, following a recent model (3, 25). Briefly, if the enzyme is passive, the opening/closing kinetics of the fork are unaffected by the presence of the helicase. In contrast, as defined here, an active helicase directly destabilizes the double helix. As a result, α and β depend on the position of the enzyme relative to the fork. When the enzyme is at the fork, the opening step is favored over the closing one. This active mechanism is modeled by lowering the energy of unpairing at the fork (i.e., the equilibrium constant α/β) by a fixed amount when the enzyme is within n bp of the fork. The amount of energy contributed by the enzyme to the destabilization of the junction constitutes a measure of the active character of the enzyme. We assume that the base-pairing energy is homogenous, thus neglecting sequence effects. To preserve generality, we present here a simple version of the model assuming that destabilization by the helicase occurs on the range of its step size and neglecting activation barrier position effects (25).

Using Eq. 5, we globally fit the measured unwinding rates $v_U(F, [ATP])$. The free parameters are the step size n and the effective base-pairing energy at zero force $\Delta G_0 - \Delta G_{\text{Heli}}$ (Fig. 5A). We impose that above the force where $\Delta G_{\text{bp}}(F) = 0$, where ssDNA and dsDNA are equally stable, the dsDNA rate equals the ssDNA translocation rate. The best fit is obtained when $n = 1.4 \pm 0.25$ bp and $\Delta G_0 - \Delta G_{\text{Heli}} = 1.9 \pm 0.25 k_B T$ (uncertainty includes error in ssDNA elasticity; *SI Text*). For the closest integer values of the step size $n = 1$ or 2 bp, similar values are obtained for $\Delta G_0 - \Delta G_{\text{Heli}}$, 2.3 or 1.6 $k_B T$, respectively. It is possible to estimate ΔG_0 knowing the GC content of the sequence studied. Using values of 1.3 $k_B T$ and 2.9 $k_B T$ for AT and GC base pairs, respectively (29), we obtain $\Delta G_0 = 1.95 k_B T$ for our 42% GC-rich large DNA molecule, and therefore $\Delta G_{\text{Heli}} = 0.05 k_B T$ ($-0.2 < \Delta G_{\text{Heli}} < 0.3 k_B T$). In other words, our results indicate that the destabilization of the double helix by gp41 is very small (<15% of the base-pairing energy), which is consistent with gp41 unwinding DNA by an essentially passive mechanism.

gp41 Processivity. The number of base pairs unwound by gp41 before dissociation (N_U) provides a measure of the enzyme's processivity. The cumulative dissociation distribution $P(N_U < N_{\text{bp}})$, the probability that the enzyme dissociates from its substrate before reaching N_{bp} base pairs, is a convenient way to assess the processivity of gp41 (*SI Figs. 11 and 12*). The cumulative dissociation distributions have been measured on the 231-bp substrate and fit to an ad hoc function under various forces and ATP concentrations (data not shown). For the various conditions investigated, the processivity of gp41 lies within 100–800 bp, which is considerably smaller than the processivity reported for the entire T4 replisome (15, 30). The large range is attributable to the limited statistics and does not permit us to address the possible dependence of gp41 processivity on ATP concentration or force.

Discussion

Comparisons with Previous Results. The ssDNA velocity measured in these experiments is in agreement with a previous estimate based on bulk ATP hydrolysis measurements of gp41 in the presence of linear or circular ssDNA (17, 31). In previous ensemble measurements, gp41 ATPase activity also displayed a high (millimolar) K_m but with a sigmoidal dependence on the ATP concentration (13, 17). Here we measure the ATP dependence of the translocation step, whereas steady-state ensemble experiments measure the slowest step that limits the rate of turnover. Generally, this is a slow process such as binding or dissociation that might display a different ATP dependence.

The gp41 unwinding rate has been measured in bulk *in vitro* assays where the helicase was loaded on the DNA molecule with the loader protein gp59 (32). An unwinding rate of 30 bp/s was reported, in excellent agreement with our data extrapolated to zero force.

gp41 Step Size. The comparison of our data to a simple model suggests a 1.4-bp step size for gp41. Similar experiments on the related T7 helicase (8) reported a 2-bp step size. In contrast, a 1-bp step size value has been reported for *E. coli* replicative helicase DnaB (33), the archetypal member of the DnaB-like helicase superfamily. These results relate to the kinetic step size of the enzyme, defined as the average number of base pairs unwound between two rate-limiting steps. The kinetic step size might actually consist of sequential rapid substeps whose size (the structural step size) corresponds to the minimal discrete motion of the enzyme. A 1-bp structural step size seems more likely, in light of structural results obtained for the papilloma-virus hexameric replicative helicase E1 (34). Such a small step size reveals a highly inefficient helicase: one ATP hydrolysis

event releases 12 kcal/mol or $\approx 20 k_B T$ (at room temperature) worth of energy, ≈ 10 times more than the base-pairing energy.

gp41 Mechanism Implications. The comparison of our results with a very simple model suggests that gp41 unwinds DNA by using a passive mechanism. This picture is consistent with an exclusion model of DNA unwinding in which the hexameric ring translocates on ssDNA while excluding the other strand from its central channel (35). The pairing energy of the strands acts as a force opposing the DNA opening accounting for the slower unwinding rate of gp41 compared with its ssDNA translocation rate. Note that our description neglects sequence heterogeneity effects, potential deformations of the DNA strands, and complex possible interactions of each separated strand with the helicase. We therefore do not exclude that a more elaborated model might yield a different result.

Our findings do not rule out that other classes of helicases may use active mechanisms. Indeed, superfamily 1 (SF1) helicase PcrA (36) has been reported to unwind DNA by using an active mechanism. Also, SF1 UvrD helicase features a dsDNA unwinding rate much closer to its ssDNA translocation rate [$v_{\text{ssDNA}}/v_{\text{dsDNA}} \approx 1.2$ or 2.8 for UvrD (24, 37)], which might reflect a more active mechanism for UvrD than gp41 (Fig. 5B). In similar experiments on an RNA hairpin under tension, SF2 NS3 helicase displayed no variation of its unwinding rate with the force, suggesting an active mechanism (9, 38). Another group recently proposed a spring-loaded mechanism to account for DNA unwinding by NS3 based on single-molecule FRET experiments (10). In this model, ATP hydrolysis events induce forward motion of two domains of the enzyme. DNA unwinding is accomplished by the mechanical strain built up during such successive cycles. This picture also is consistent with an active mechanism.

Structurally similar to gp41, the T7 replicative helicase gp4 exhibits similar qualitative dynamics in a recent study (8), consistent with previous bulk experiments (39). There are, however, significant quantitative differences between T7 gp4 and T4 gp41: (i) T7 gp4 is reported to switch strands, a feature we never observed with gp41; (ii) its processivity is much larger than gp41 (thousands versus hundreds of base pairs); and (iii) it appears to destabilize a DNA fork more than gp41 ($\Delta G_{\text{Heli}} \approx 1.2 k_B T$ versus 0.05 $k_B T$). Based on ΔG_{Heli} values, gp41 is closer to the ideal passive helicase, whereas gp4 stands somewhere between the purely passive unwinding model and the purely active unwinding model. However, it must be pointed out that the estimation of ΔG_{Heli} from single-molecule experiments relies on an estimate of the entropic elasticity of the ssDNA unwound by the enzyme, which in the unknown environment of the enzyme might easily be off by 1 $k_B T$ (*SI Text*).

Finally, one must be aware of the pitfalls inherent to the passive/active paradigm. Slightly different definitions of an active mechanism exist depending on whether the base pair destabilization is directly attributable to ATP binding and hydrolysis or only attributable to the presence of the helicase (5, 40). Even so, these definitions do not allow for easy predictive and quantitative experiments to be formulated. Finally, the active/passive classification distinguishes between two extreme cases that do not reflect the continuum of behaviors probably existing in nature.

gp41 Requires Other Proteins to Reach Its Full Speed and Processivity. The gp41 unwinding processivity measured here is similar to the values estimated for gp41 translocation on ssDNA (17, 31) and is notably smaller than those measured in strand displacement synthesis assays catalyzed by gp41 in association with the gp43 DNA polymerase (15, 30).

What are the implications of our results for gp41 activity *in vivo*? The force exerted on DNA *in vivo* may not be strictly zero

